

New Settlement (Maltkiln) Development Plan Document (DPD)



Submission Draft Legal Compliance and Soundness Assessment



New Settlement (Maltkiln) DPD: Self-Assessment of Tests of Soundness and Legal Compliance

Self-Assessment of Tests of Soundness

This self-assessment provides an overview of how the draft North Yorkshire Council Maltkiln (New Settlement) Development Plan Document (DPD) has met the tests of soundness. The Council has used the checklist produced by the Planning Advisory Service in order to demonstrate that the New Settlement (Maltkiln) DPD meets the tests of soundness.

Section 20 of the Planning and Compulsory Purchase Act 2004 (as amended) (the Act) prescribes that the local planning authority must submit every development plan document to the Secretary of State for Independent Examination, but the authority must not submit such a document unless they have complied with all relevant requirements contained in Regulations and they think the document is ready for Independent Examination.

Paragraph 35 of the National Planning Policy Framework (NPPF) clarifies this by highlighting that local plans and spatial development strategies are examined to assess whether they have been prepared in accordance with legal and procedural requirements, and whether they are sound. The Council believes that it has met all the relevant requirements in preparing the Maltkiln DPD, and is satisfied that the DPD is ready to be submitted.

The NPPF defines what a sound plan is. Plans are sound if they are:

- 1. Positively Prepared: providing a strategy which, as a minimum, seeks to meet the area's objectively assessed needs, and is informed by agreements with other authorities, so that unmet need from neighbouring areas is accommodated where it is practical to do so and is consistent with achieving sustainable development.
- 2. Justified: an appropriate strategy, taking into account the reasonable alternatives, and based on proportionate evidence.
- 3. Effective: deliverable over the plan period, and based on effective joint working on cross-boundary strategic matters that have been with rather than deferred, as evidenced by the Statement of Common Ground.
- 4. Consistent with national policy: enabling the delivery of sustainable development in accordance with policies in the National Planning Policy Framework.

Paragraph 36 of the NPPF stresses that the tests of soundness will be applied to non-strategic policies in a proportionate way, taking into account the extent to which they are consistent with the relevant strategic policies for the area. The former Harrogate District Council geography has an up-to-date Local Plan that was adopted in March 2020. Following adoption, a legal challenge was raised against the new settlement policies in the High Court and a judgement was issued on 26 November 2020. In line with the court order accompanying the judgement the whole of the local plan was remitted to the council to consider whether or not to accept the Inspector's recommendations in so far as they related to the new settlement policies and whether or not to adopt the local plan with those policies, taking into account the full Sustainability Appraisal (SA) documentation and consultation responses. The council adopted the local plan with the new settlement policies

on 9 December 2020. The Local Plan therefore provides an up-to-date strategic planning policy context for the preparation of the Maltkiln (New Settlement) DPD.

Paragraph 20 of the NPPF advises on matters to be addressed by strategic policies. This includes setting out the overall strategy for the pattern, scale and quality of development and making provision for housing, employment, retail, leisure, infrastructure, community facilities and conservation and enhancement of the natural, built and historic environment.

The HDLP sets out an OAN for Harrogate District (Policy GS1). Policy GS2 sets the Growth Strategy to 2035, setting out where new homes and jobs should be located, in line with a defined Settlement hierarchy. Development limits for places in the settlement hierarchy are identified under the provisions of policy GS3. Policy DM4 identifies land in the Green Hammerton/Cattal as a broad location for growth, with the boundary, nature and form of a new settlement to be established in this separate Development Plan Document (DPD). Policy DM4 sets out the issues to be covered by the DPD, including the need for the DPD to address the phasing of development to ensure the provision of essential supporting social, physical and environmental infrastructure.

The matters referred to in paragraph 20 of the NPPF have been already addressed in the Local Plan as strategic policies, and as such, this self-assessment is undertaken within the context of the main purpose of the Maltkiln (New Settlement) DPD, to allocate land, to deliver those strategic priorities.

This self-assessment has been carried out using the Planning Advisory Service soundness toolkit. As highlighted above, not all of the content of the toolkit is relevant to the preparation of this DPD. References have been made to a number of evidence base studies used to inform the preparation of the DPD. The following table sets out the requirements associated with the tests of soundness and the evidence to demonstrate that the Council has met them where relevant. The examples provided in the Table are not the full list of evidence but examples to demonstrate how the requirements have been met.

	KEY QUESTIONS			ASSESSMENT		
	Growth Strategy					
Α	In no more than 100 words (excluding any referencing) summarise your strategy for delivering growth and development in your area	The remit of the New Settlement (Maltkiln) DPD, is to implement the Harrogate District Local Plan in relation to the delivery of a new settlement. The adopted Harrogate District Local Plan (HDLP) 2014 – 2035 sets out the district's growth strategy which includes a new settlement in the Green Hammerton/Cattal area. Policy DM4 of the HDLP identified a requirement to deliver at least 3000 homes and 5ha of employment land at Maltkiln. The DPD sets out the more detailed vision, objectives and policies to guide the development of Maltkiln and includes a clear boundary and indicative spatial framework to guide its delivery.				
В	In no more than 100 words (excluding any referencing) identify the key factors which informed the distribution of development in the local plan	is to implement the	Harrogate District Lo	ocal Plan in relation to	ent) development pla o the delivery of a new as been established w	v settlement.
С	List each of the main growth areas and strategic sites and the key infrastructure needed to support delivery	N/A – as above.				
1.	Overall does the local plan policies update clearly articulate the strategy for where and how sustainable development will be delivered and that this is 'an appropriate	-2 No, we do not meet this requirement Reason for score:	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement

	KEY QUESTIONS			ASSESSMENT		
	strategy' within the context of	Implications of ta	aking no further acti	on:		
	paragraph 35 of the NPPF?		on required (if neces	sary) to move scale	to right:	
		Reviewer Commo	ents:			
		-2	-1	0	+1	+2
	Is it clear how the amount of development identified for any growth areas or major site	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement
2.	allocations has been	Reason for score	e: N/A – as above			7.5 4.00 5.00 5.00
	determined – and that the level proposed is deliverable and	Implications of ta	aking no further acti	on:		
	justified?		on required (if neces		to right:	
	, source :	Reviewer Commo	ents:			
	Is it clear that the local plan	-2	-1	0	+1	+2
	policies update provides for the most appropriate level of housing growth using the standard methodology as a	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement
	starting point? Can you clearly	Reason for score	e: N/A – as above.			
	articulate why planned growth levels should not be higher or	Implications of ta	aking no further acti	on:		
	lower?		on required (if neces	sary) to move scale	to right:	
3.		Reviewer Commo	ents:			
	If you are proposing any material change away from the level of housing indicated by the standard method, can you clearly justify this through evidence?					
	Does the level of housing provide for an appropriate and justified buffer?					

	KEY QUESTIONS			ASSESSMENT		
	Is the distribution of development justified in respect of the need for, and approach to, Green Belt release and can you	-2 No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement
4.	demonstrate that alternatives to Green Belt release have been fully considered? Can you demonstrate that exceptional circumstances exist to justify green belt release?		king no further action required (if necess		to right:	
5.	Is it clear how sites have been selected and have site allocations been made on a consistent basis having regard to the evidence base, including housing and employment land availability assessments, the Sustainability Appraisal and viability assessment? If not, can you justify why?	Mitigation / Action Reviewer Comme Appraisal (SA) of the settlement within Formula (August 2018), the considered all the of Hammerton/Cattal The SA was informula (August 2018)	king no further action required (if necessints: The adopted HD ne HDLP assessed all larrogate district. As post interim report (Octoptions for the specific broad location. The properties are which to determine an	LP identifies the broaternative location optoart of the preparation and the clocation of the new andertaken at pre-reg	ad location for growth tions for the broad location of the DPD, the SA Regulation 19 SA Resettlement site allocatulation 18 stage which	ation of a new scoping report port (October 2022) tion within the Green h provided an
6.	Does the local plan policies update identify a housing requirement for designated neighbourhood areas?	-2 No, we do not meet this requirement	-1 No, we may not fully meet this requirement	Unclear whether our plan meets	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan

	KEY QUESTIONS			ASSESSMENT	pliance Assessment	,	
				this requirement or not		will meet this requirement	
		Reason for score	: N/A – as above		•	<u> </u>	
		Implications of ta	king no further action	on:			
			n required (if neces:	sary) to move scale	to right:		
		Reviewer Comments:					
		-2	-1	0	+1	+2	
	Do site allocations include	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
	sufficient detail on the mix and	Reason for score	: +2				
	quantum of development,	Implications of taking no further action:					
7.	including, where appropriate		sary) to move scale	cale to right:			
	any necessary supporting infrastructure?	new settlement. The requirements which relating to delivery settlement Infrastrum which supported the and support the delivery	ut the minimum requine policies of the HDL h set out more specify, which is supported bucture Delivery Plan (ne development of the evelopment of the new	P and the New Settle ic site requirements for a new settlement in the IDP) has been prepare HDLP, identifying the settlement in a time.		range of includes a policy plan. The new detail to the IDP ecessary to facilitate sustainable way.	
D	What targets have you set for non-residential floorspace or employment land and, if relevant, the number of jobs to be created over the plan period?	and support the development of the new settlement in a timely, co-ordinated and sustainal aset for As set out above, the HDLP establishes the strategic policy targets for the new settlement. Policy NS1 of the DPD requires a minimum of 5ha of employment land – this figure is directly alignment with the figure required within the adopted HDLP. Policy NS26 requires a Local Centre to be provided, which will be 3ha in size and will provided.					
	List these targets and the evidence source for this 'need' target?						

	KEY QUESTIONS	ASSESSMENT					
8.	Where and how are the targets referred to above to be delivered? Do the sites and indicative capacities that you have identified demonstrate that these targets are achievable? If you are not allocating sites to meet needs identified, can you justify and explain how those needs will be met?	Mitigation / Action Reviewer Comme delivered by the sit referred to within P tests the physical of submission of a co in further detail how The costs associat the Infrastructure D Policy NS38 requir	king no further action required (if necessints: Policy NS1 sets the allocation. The Control Policy NS1 of the DPD capacity of the site allocation.	cept Framework prepared in the required mix cept Framework prepared in provides an indication cation to accommodian for the whole of the accommodated. If the mix of development of the provide more cosals to provide more control of the mix of development of the mix of developmen	and quantum of develoared by external desvelayout for the new late the above uses. If he new settlement, when the required have be sament.	ign consultants and settlement, which Policy NS3 requires hich will demonstrate en identified within elating to phasing	
9.	Does the local plan policies update: (i) identify infrastructure that is necessary to support planned growth; and (ii) enable provision of this	-2					
	infrastructure?	Mitigation / Action Reviewer Comme	n required (if necess ints: Policy NS1 sets te allocation. An Infra	eary) to move scale out the required mix	and quantum of deve		

	KEY QUESTIONS			ASSESSMENT			
		key infrastructure necessary to facilitate and support the development of the new settlement in a timely, co-ordinated and sustainable way. Local planning authorities should ensure that there is a reasonable prospect that planned infrastructure is deliverable in a timely fashion. The HDLP was accompanied by an Infrastructure Delivery Plan which set out Infrastructure requirements for the development of a new settlement at a high level, informed by an assessment of infrastructure requirements/capacity. The New Settlement IDP updates and builds upon the information contained within the HDLP IDP. The New Settlement Development Plan Document includes a specific policy relating to delivery of development (NS38). The policy requires developers to prepare a Strategic Allocation-wide Infrastructure Delivery Strategy, a Phasing Strategy and a Financial Appraisal for approval as part of the planning application. These documents are required to ensure that the development of the Maltkiln New Settlement Strategic Allocation will deliver, in a timely manner, sufficient infrastructure to cater for the needs of the Strategic Allocation as a whole and also mitigate to an acceptable level the effects of					
10.	Can you demonstrate that the transport and other infrastructure needed to support <u>each</u> growth area or strategic site identified in the local plan policies update: (i) can be funded and delivered; and (ii) is supported by the relevant providers/ delivery agents in terms of funding and timescales indicated? Have you identified the extent of any funding gap? If so, are you able to explain why you are confident that any gap can	Mitigation / Action Reviewer Comme An Infrastructure Difacilitate and suppoway, including transfunding for each ite consultation with in viability. The consultation	king no further action	s been prepared to ice of the new settlement The IDP includes a co The information within a and the site promot udes details of the st	dentify the key infrastr in a timely, co-ordina ost schedule, which se the IDP has been pro er and has informed a akeholders engaged	ted and sustainable ets out the source of epared in an assessment of	

	KEY QUESTIONS	ASSESSMENT
	Process and Outcomes (see also	Toolkit Parts 2 and 3)
E	What are the cross boundary strategic matters affecting your local plan policies update? List these.	No significant cross-boundary issues have been identified during the preparation of the Maltkiln (New settlement) DPD. The Council has an ongoing Duty to Co-operate with neighbouring authorities in regard to plan-making. The principle of a new settlement was established via policy DM4 of the adopted Harrogate District Local Plan. In submitting the Plan for examination, the Council provided a Duty to Co-operate statement that set out the steps that had been taken to fulfill its duty and concluded that there were no outstanding issues of strategic importance. The statement highlighted those bodies where on-going dialogue would be needed as the New Settlement DPD was being prepared, including City of York Council, Leeds City Council, Network Rail, Highways, England (National Highways) and North Yorkshire County Council. Specific meetings have been held with York City Council and Leeds City Council during the preparation of the DPD. In addition to separate meetings with individual organisations, the long-established North Yorkshire Development Plans Forum has provided a further opportunity to discuss plan-making issues with neighbouring authorities. The Council has also worked closely with the former North Yorkshire County Council on the development of policies, in particular those relating to education and transport. On the 1 April 2023 North Yorkshire Council was formed which assumed responsibility for administrating the area previously administered by North Yorkshire County Council and the district councils of Harrogate, Craven, Hambleton, Richmondshire, Ryedale, Scarborough and Selby. The Regulation 19 consultation was held prior to the formation of North Yorkshire Council. In addition, there has been continued dialogue and meetings with key organisations such Network Rail, National Highways, Environment Agency and Historic England.

	KEY QUESTIONS	ASSESSMENT					
		Details of these discussions are detailed in the Maltkiln DPD Consultation Statement and Duty to Cooperate Report. This includes reference to specific Statements of Common Ground being prepared with Leeds City Council and City of York Council.					
	Does your Duty to Cooperate Statement(s) of Common Ground: (i) identify these issues; (ii) identify the bodies	-2 No, we do not meet this requirement	-1 No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement	
11.	you have engaged with or continue to engage with; and (iii) clearly set out not just the process, but the outcomes of this engagement highlighting areas of agreement and of difference?	Implications of ta cross-boundary iss Mitigation / Actio Reviewer Common Sufficient engagen ongoing to further	nent has been underta develop the IDP and s vided within the Maltki	on: continued engage e identified and mitige sary) to move scale aken to support development the Development.	ement is important to pated appropriately. to right: NA clopment of the DPD amont Management pro	and engagement is ocess. Evidence to	
F	Are there any aspects of the local plan policies update not in conformity with national policy (or where you will be relying on transitional provisions)? Please set these out and provide justification with reference to evidence for these. Are you satisfied you can robustly defend this on the basis of local evidence? For instance, are you seeking to require affordable housing on sites which are below the threshold of major	The DPD is in com	npliance with National	Policy.			

	KEY QUESTIONS			ASSESSMENT	manac / teedesment /	
	development as defined by national planning policy?					
12.	Are there any specific policies in the local plan policies update where there are differences to any policy approach set out in a relevant strategic planning framework (e.g. the London Plan, or a plan produced by a Combined Authority or through voluntary	Implications of ta	-1 No, we may not fully meet this requirement : +2, the DPD is in Coking no further action required (if necessents:	on:		+2 Yes, we are confident our plan will meet this requirement
	agreement).					
	Is the local plan policies update: • in conformity with any 'higher level' plans	-2 No, we do not meet this requirement	-1 No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement
13.	prepared by the Council; and		: +2, the DPD is in Co	<u> </u>	DLP.	
	properly reflecting provisions of any made neighbourhood plan?		n required (if necess		to right:	
		-2	-1	0	+1	+2

	KEY QUESTIONS			ASSESSMENT	yildings / teedestinent		
	Does your Consultation Statement demonstrate how you have complied with the specific requirements of the	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
14.	Town and Country Planning (Local Plan) (England) Regulations 2012 and the	Reason for score: +2, the Consultation Statement demonstrates how the Council has met the requirements of the SCI. Implications of taking no further action:					
	Council's adopted Statement of Community Involvement to date [you should revisit and update this following the publication of your Regulation 19 local plan policies update]?	Mitigation / Action Reviewer Comme	n required (if necess ints:	ary) to move scale	to right:		
		-2	-1	O Line le servide ette en	+1	+2	
	Has the Sustainability Appraisal – incorporating the	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
4-	requirements of the Strategic Environmental Assessment		: +2, all reasonable al gulation 19 consultatio		uated, and the SA wa	s updated in	
15.	legislation - evaluated all		king no further actio				
	reasonable alternatives? Is it		n required (if necess	sary) to move scale	to right:		
	clear why alternatives have not been selected?	location of a new s scoping report (Au (October 2022) cor within the Green H					
	Does the Sustainability	-2	-1	0	+1	+2	
16.	Appraisal adequately assess the likely significant effects of policies and proposals?	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	

	KEY QUESTIONS		ASSESSMENT							
		Reason for score	: +2, the SA includes	a full assessment of	the DPD policies.					
		Implications of ta	aking no further acti	on:						
				sary) to move scale	to right:					
		Reviewer Commo	ents:							
		-2	-1	0	+1	+2				
	Is it clear how the Sustainability Appraisal has	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement				
	influenced the local plan policies update including how	Reason for score	e: +2							
17.	any policies or site allocations	Implications of taking no further action: Mitigation / Action required (if necessary) to move scale to right:								
	have been amended as a result	i minganon / Acno	ni requirea (ii ricees	saiy to illove scale	to rigiit.					
	have been amended as a result and does it show (and conclude) that the local plan policies update is an appropriate strategy?	Reviewer Commo	ents: The SA docume	ents prepared at each	n stage of the DPD sho the SA work have influ					
	and does it show (and conclude) that the local plan policies update is an	Reviewer Commo	ents: The SA docume	ents prepared at each	stage of the DPD sho	enced its +2				
40	and does it show (and conclude) that the local plan policies update is an	Reviewer Common of the document has preparation.	ents: The SA documents as been assessed ar	ents prepared at each	n stage of the DPD sho the SA work have influ	uenced its				
18.	and does it show (and conclude) that the local plan policies update is an appropriate strategy? Is it clear how an Equalities Impact Assessment has influenced the local plan	Reviewer Common of the document has preparation. -2 No, we do not meet this requirement Reason for score	ents: The SA documents as been assessed and seen assessed as seen as s	o Unclear whether our plan meets this requirement or not	stage of the DPD sho the SA work have influence +1 Yes, we are likely to meet this	+2 Yes, we are confident our plan will meet this				
18.	and does it show (and conclude) that the local plan policies update is an appropriate strategy? Is it clear how an Equalities Impact Assessment has	-2 No, we do not meet this requirement Reason for score Implications of ta	ents: The SA documents as been assessed and seen assessed assessed assessed as seen assessed as seen as se	on:	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this				
18.	and does it show (and conclude) that the local plan policies update is an appropriate strategy? Is it clear how an Equalities Impact Assessment has influenced the local plan	-2 No, we do not meet this requirement Reason for score Implications of ta Mitigation / Action Reviewer Common	ents: The SA documents as been assessed and seen assessed	on: sary) to move scale	+1 Yes, we are likely to meet this requirement to right: Reg 19 and submissio	+2 Yes, we are confident our plan will meet this				

	KEY QUESTIONS	ASSESSMENT					
		-	No, we may not fully meet this requirement : +2, king no further action required (if necess		Yes, we are likely to meet this requirement to right:	Yes, we are confident our plan will meet this requirement	
19.	Does the Habitats Regulations Assessment consider the local plan policies update in combination with other plans and projects?	Reviewer Comme The Maltkiln New S prepared and public On the 1 April 2023 administrating the councils of Harroga Regulation 19 cons The Modifications of following Regulation April 2022. None of Assessment (HRA) The HRA April 202 significant effect from	ents: Settlement Habitats Rished in April 2022 by 3 North Yorkshire Couarea previously admirate, Craven, Hamblet sultation was held pricas set out in the Malthon 19 consultation havof the proposed modification the proposal on are clusion still stands and	egulations Assessment AECOM on behalf of AECOM on behalf of an ancil was formed which istered by North Yorkon, Richmondshire, for to the formation of a tiln (New Settlement) we been assessed agree to a set a high degree of the property of the property of the set and the	ent, Test of Likely Sign of Harrogate Borough chassumed responsitives assumed responsitives assumed responsitives assumed responsitives assumed responsitive Country Country of Proposed Modianst the Habitats Rehanges to the Habitat from from the confidence there will ther alone or in combined from the combined from the confidence of the confidence there will the confidence or in combined from the combined from the confidence of the confidenc	bility for il and the district h and Selby. The ncil. fications Schedule gulation Assessment Regulations I not be a likely ination with other	
20.	If the Habitats Regulations Assessment has identified, through 'Appropriate Assessment' that mitigation measures are required, does	-2				Yes, we are confident our plan	
	the local plan policies update adequately identify the measures required and the	•	: +2, N/A king no further action n required (if necess		to right:		

	KEY QUESTIONS		ASSESSMENT					
	mechanisms for delivering them?	Reviewer Commer No mitigation require						
21.	Is it clear how the outcomes and conclusions of the Habitats Regulations	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement		
	Assessment have influenced the local plan policies update?	Reason for score:	+2 king no further action	n:				
		-	required (if necessa		to right:			
			nts: No mitigation req		to right.			
	Housing Strategy							
22.	Can you demonstrate that the policies and proposed allocations in your local plan	-2 No, we do not meet this requirement	-1 No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement		
	policies update meet your	Reason for score:	+2					
	housing requirement in full and that this can be achieved as a	•	ing no further actio	•		ctiveness: N/A		
	minimum? If not [for instance,		required (if necessa	ary) to move scale	to right:			
	because another local authority has agreed to plan for your unmet need], can you explain and robustly justify why?	Reviewer Comments: The remit of the New Settlement (Maltkiln) DPD, is to implement the Harrogate District Local Plan in relation to the delivery of a new settlement. The strategy for delivering growth and development in the former Harrogate District has been established within the adopted Harrogate District Local Plan (HDLP) 2014 – 2035, which sets out the level of growth to be accommodated across the former Harrogate District during the plan period. The HDLP sets out an OAN for Harrogate District of 13,377 homes in the period 2014 – 2035 (Policy GS1). Policy GS2: Growth Strategy to 2035 sets out where new homes and jobs should be located, in						
			Growth Strategy to 20 Settlement hierarchy.					

	KEY QUESTIONS			ASSESSMENT			
		growth within the district's main settlements, settlements on key public transport corridors and a new settlement in the Green Hammerton/Cattal Area. Development limits for places in the settlement hierarchy are identified under the provisions of policy GS3. The Local Plan does not identify a development limit for the new settlement. Instead, a broad location for growth is identified in the Green Hammerton/Cattal area, as shown on the key diagram within the local plan. Policy GS2 states that within this area a site for a new settlement will be allocated through the adoption of a separate Development Plan Document (DPD) and that the DPD will be brought forward in accordance with the development principles outlined in policy DM4 of the Local Plan. The Maltkiln (New Settlement) DPD has been prepared in line with the growth strategy in the adopted HDLP. The DPD allocates the new settlement site and contains more detailed policies relating to the delivery of the New Settlement Allocation. The HDLP identified a requirement to deliver at least 3000 homes and 5ha of employment land at					
G	Is there any unmet need in neighbouring areas that you have been formally asked to accommodate? If yes, then list the amount by each local authority area.	The HDLP identified a requirement to deliver at least 3000 homes and 5ha of employment land at Maltkiln. Correspondingly, the Maltkiln (New Settlement) DPD requires the site allocation to deliver a minimum of 3000 dwellings and 5ha of employment land. N/A					
	Does your local plan policies update accommodate any of	-2 No, we do not meet this requirement	-1 No, we may not fully meet this requirement	Unclear whether our plan meets this requirement	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this	
23.	this unmet need where you can sustainably to do so?	Reason for score: +2, N/A Implications of taking no further action: Mitigation / Action required (if necessary) to move scale to right:					
		Reviewer Comme	ents:				

	KEY QUESTIONS		ASSESSMENT					
24.	Is there a housing trajectory which illustrates the expected rate of housing delivery and ensures the maintenance of a 5-year supply during the plan period? Is your strategy for delivery and implementation clearly articulated and justified to support the trajectory?	No, we do not meet this requirement fully meet this requirement this requirement this requirement or not requirement requirement or not requirement this requirement or not requirement will meet this requirement. Reason for score: +2, The Local Plan includes a housing trajectory, and the Council publishes a 5Y housing land supply (5YHLS) position annually. Implications of taking no further action: Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments: The strategy for delivering growth and development in the former Harrogate District has been established within the adopted Harrogate District Local Plan (HDLP) 2014 – 2035, which sets out the level of growth to be accommodated across the former Harrogate District during the plan period. The HDLP identifies sufficient sites, taken with anticipated windfall delivery to demonstrate that the OAN will be delivered. Annual updates to the 5YHLS ensure that delivery in line with the HDLP trajectory is						
25.	Can you confirm: (i) that the local plan policies update will provide for a 5-year supply of specific deliverable sites on adoption; and (ii) that beyond this 5 year period sites are developable and (iii) if relevant, you have included a 5 or 20 percent buffer to deal with under-delivery.	monitored. The Council can demonstrate a 5YHLS. -2						

	KEY QUESTIONS			ASSESSMENT	Marice Assessment I		
	KET QUESTIONS			ASSESSMENT			
	Does the level of supply provide any 'head room' (that is additional supply above that required) to enable you to react	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
	quickly to any unforeseen	Reason for score	:+2, N/A				
26.	changes in circumstances and to ensure that the full	Implications of ta	king no further action	on:			
20.	requirement will be met during		n required (if necess	sary) to move scale	to right:		
	the plan period?	established within to be level of growth to be HDLP identifies sur	elivering growth and de the adopted Harrogate se accommodated acr	e District Local Plan coss the former Harro th anticipated windfa	(HDLP) 2014 – 2035, gate District during th	which sets out the	
		-2	-1	0	+1	+2	
	Is the Council reliant on the delivery of any 'windfall' sites (sites not specifically identified	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
27.	in the development plan) during the plan period and if	Reason for score: +2, N/A					
	so, how many and when? Is	•	king no further actio				
	there compelling evidence to confirm that such sites will continue to come forward?	Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments: This issue was established through the HDLP. The strategy for delivering growth and development in the former Harrogate District has been established within the adopted Harrogate District Local Plan (HDLP) 2014 – 2035, which sets out the level of growth to be accommodated across the former Harrogate District during the plan period.					
		-2	-1	0	+1	+2	
28.	Does the local plan policies update make it clear what size, type and tenure of housing is	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
	required?	Reason for score	: +2				
		Implications of ta	king no further actio	on:			

	KEY QUESTIONS		ASSESSMENT						
		Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments: The DPD contains a Housing mix policy and an affordable housing policy. Both policies are in alignment with the housing mix and affordable housing policies within the adopted HDLP.							
	-2 No, we do not meet this requirement	-1 No, we may not fully meet this requirement	0 Unclear whether our plan meets this requirement or not	+1 Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement				
29.	Does the local plan policies update specifically address the needs of different groups in the community?		Reason for score: +2 Implications of taking no further action: Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments: A range of policies within the plan address the needs of different groups within the community. The Equalities Impact Assessment which accompanies the submission document considers the specific groups which exist within the district and the ways in which the various policies of the plan relate to						
		equality. Policies such as NS22 housing mix, NS23 Affordable Housing and NS24 Specialist housing are examples of policies which seek to address specific needs of different groups within the community.							
	Can your affordable housing requirements, including any geographical variations, be justified?	No, we do not meet this requirement	-1 No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement			
30.	Does the local plan policies update provide for the delivery of the full need for affordable housing? If not, can you explain and justify why?	Reason for score: +2, N/A Implications of taking no further action: Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments: The DPD contains an Affordable Housing policy which is in alignment with the Affordable Housing in							
		the adopted HDLF	<u>'.</u> -1	0	+1	+2			

	KEY QUESTIONS			ASSESSMENT			
	Have the needs for travellers and travelling showpeople been adequately assessed in accordance with national	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
31.	policy and have they been based on robust evidence?	Reason for score	,				
31.	based off robust evidence:	•	aking no further action				
	Does the local plan policies			sary) to move scale to dressed through the ad			
	update make adequate provision for the identified needs?	Reviewer Commo	ents: This issue is add	aressed inrough the ad	оргеа посе.		
		-2	-1	0	+1	+2	
32.	Will the local plan policies update provide for a 5-year supply of deliverable travellers	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
	and travelling showpeople pitches to meet identified needs?	Reason for score: +2, N/A					
		Implications of taking no further action:					
		Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments:					
Н	List any travellers and travelling showpeople sites identified to meet need and the timescales for their delivery	N/A					
	Justified approaches to plan pol	icy and content					
		-2	-1	0	+1	+2	
33.	Where thresholds are set in policies which trigger specific policy requirements, are these thresholds justified by	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	

	KEY QUESTIONS			ASSESSMENT				
	evidence and is this clear in	Reason for score: +2, N/A						
	the supporting text?	Implications of ta	aking no further acti	on:				
	[You may wish to check each			sary) to move scale to	right:			
	policy setting a threshold]	Reviewer Comments: no thresholds set						
	Does the local plan policies	-2	-1	0	+1	+2		
update avoid deferring details on strategic matters to other documents? If it does, is it clear why matters will be	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement			
V	covered in other Development	Reason for score: +2, N/A						
	Plan Documents or	Implications of ta	aking no further acti	on:				
	Supplementary Planning Documents and why this is appropriate?	Mitigation / Actio	on required (if neces	sary) to move scale to	right:			
		Reviewer Comments: Strategic matters are covered within the HDLP, which this DPD is in alignment with.						
	Where the local plan policies	-2	-1	0	+1	+2		
	update defines a hierarchy do policies throughout the Plan consistently: (i) reflect this hierarchical approach; (ii)	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement		
	make clear the level of	Reason for score	e: +2, N/A					
35.	protection afforded to designations depending on	Implications of ta	aking no further acti	on:				
35.	their status within the	Mitigation / Actio	on required (if neces	sary) to move scale to	right:			
	hierarchy; and (iii) is the approach consistent with National Policy?	Reviewer Comments: The HDLP defines the spatial hierarchy for Harrogate district and the DPD is in alignment with this.						
	[For example, hierarchies could relate to nature conservation, heritage assets,							

	KEY QUESTIONS		ASSESSMENT					
	town centres/retail, settlements.]							
Where policies seek to limit certain uses, is this justified by evidence and is the rationale clear in the supporting text to the policy and in the evidence. [For example, policies relating to town centres, employment or retail may seek to limit certain uses.] -2 No, we do not fully meet this requirement visually meet this visually meet this visually meet this requirement visually meet this visually visually visually meet this visually								
37.	Is it clear that any standards proposed for development are justified and deliverable, taking into account the scale of the development? Where relevant, are they consistent with the principles set out in the National Design Code and National Model Design Code? [For example, onsite provision of open space, optional technical standards, internal and external space standards.]	No, we do not meet this requirement No, we may not fully meet this requirement No, we are likely to meet this requirement No meet						
		-2	-1	0	+1	+2		

	KEY QUESTIONS	ASSESSMENT						
		No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement		
		Reason for score	: +2					
		<u> </u>	king no further actio					
	Has the viability of the local		n required (if necess	ary) to move scale	to right:			
38.	plan policies update been suitably tested and does this testing cover all requirements including in respect of any required standards, affordable housing provision and transport and other infrastructure needs and if relevant the implications of CIL?	Reviewer Comments: The HDLP was the subject of whole-plan viability testing, in order to demonstrate that the scale of obligations and policy burdens would not render development unviable. This work included a high-level assessment of potential costs and values of Strategic Sites, including the new settlement. It was						
		-2 No, we do not	No, we may not	Unclear whether	+1 Yes, we are likely	+2 Yes, we are		
39.	Does the local plan policies update reflect the conclusions and recommendations of your viability evidence?	meet this requirement	fully meet this requirement	our plan meets this requirement or not	to meet this requirement	confident our plan will meet this requirement		
	viability evidence:	Reason for score	: +2					
	Is it clear the viability and	Implications of ta	king no further actio	n:				
	delivery of development will		n required (if necess	ary) to move scale	to right:			
	not be put at risk by the	Reviewer Comme	nts: as above					

	KEY QUESTIONS		ASSESSMENT					
	requirements in the local plan policies update?							
40.	Does the monitoring framework clearly set out what matters will be monitored, and the indicators used? Are these measurable and can the data be readily secured/captured?	Mitigation / Action Reviewer Comme appropriate indicat the whole the mon	king no further action required (if necessents: The monitoring fors. In some cases, fu	ramework sets out wurther detail will be resents a clear measur	hich matters will be mequired when triggers able framework.	have been hit but on		
41.	Does the local plan policies update and monitoring framework identify a clear framework for plan review? Where triggers for plan review and/or update are identified are they justified and proportionate?	appropriate indicators. In some cases, further detail will be required when triggers have been hit but on the whole the monitoring framework presents a clear measurable framework. -2						

	KEY QUESTIONS	ASSESSMENT									
		detailed applications may be limited. Updated development management policies are being developed as part of the preparation of the new NYC Local Plan. The need for these policies to replace DPD policies will be considered as part of this work.									
	Plan effectiveness (and associate	Plan effectiveness (and associated policy clarity)									
	Does the local plan policies update clearly set out the	-2	-1	0	+1	+2					
		No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement					
	timeframe that it covers? Is it clear which policies are	Reason for score	: +2								
	strategic? Will the strategic policies provide for a minimum of 15 years from adoption? Does the evidence relied on to support those policies correspond/cover this whole period? Where larger scale developments are proposed as part of the strategy, does the	Implications of taking no further action:									
		Mitigation / Action required (if necessary) to move scale to right:									
42.		Reviewer Comments: Paragraph 36 of the NPPF stresses that the tests of soundness will be applied to non-strategic policies in a proportionate way, taking into account the extent to which they are consistent with the relevant strategic policies for the area. The former Harrogate District Council geography has an up-to-date Local Plan that was adopted in March 2020. The Local Plan therefore provides an up-to-date strategic planning policy context for the preparation of the Maltkiln (New Settlement) DPD.									
	vision look further ahead (at least 30 years)?	The HDLP sets strategic policy, stating that new homes and jobs will be focussed within the district's main settlements, settlements on key public transport corridors and a new settlement in the Green Hammerton/Cattal area. The Maltkiln (New Settlement) DPD has been prepared in line with the growth strategy in the adopted HDLP. The DPD allocates the new settlement site and contains more detailed policies relating to the delivery of the New Settlement Allocation.									
		-2	-1	0	+1	+2					
43.	Does the local plan policies update clearly set out which adopted Development Plan	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement					
	policies it supersedes?	Reason for score	: NA								
		Implications of ta	king no further actio	n:							

	KEY QUESTIONS	ASSESSMENT						
		Mitigation / Action	n required (if necess	sary) to move scale	to right:			
		Reviewer Comments:						
		-2	-1	0	+1	+2		
	Are the objectives the policies are trying to achieve clear, and	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement		
44.	can the policies be easily used	Reason for score	: +2					
	and understood for decision making?	Implications of ta	king no further action	on:				
			n required (if necess					
		Reviewer Comments: The DPD sets out a strong vision and clear objectives. These translate into the policies contained within the DPD. The policies have been refined in response to consultation with						
		stakeholders, to ensure that they are clear and effective.						
	For each policy area you have designated or defined in the Plan: (i) are these clearly referenced and explained in the Plan; and (ii) clearly	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	+2 Yes, we are confident our plan will meet this requirement		
45.	defined on the Policies Map?	Reason for score	:+2	•				
43.	VA(leave very bever in alreded was as	Implications of ta	king no further action	on:				
	Where you have included maps or graphics within the local		n required (if necess		to right:			
	plan policies update are these legible and is it clear if and how they are to be used in decision making?	Reviewer Comments: The plans and maps within the plan have been reviewed to ensure that they are clear, legible, and accurate. An updated map has been produced following the Regulation 19 consultation which shows the boundary on a more detailed map base for clarity.						
		-2	-1	0	+1	+2		
46.	Does each local plan policies update policy: (i) make clear the type of development it will promote; (ii) use positive	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement		
	rather than negative wording?	Reason for score worded positively	: +2, policies are clea	r in the type of develo	opment they aim to pr	omote, and are		

	KEY QUESTIONS	ASSESSMENT					
		Implications of taking no further action:					
		Mitigation / Action required (if necessary) to move scale to right:					
		Reviewer Comments:					
	Do policies make clear where	-2	-1	0	+1	+2	
	they are intended to be applied differently for the purposes of decision-making dependent on (i) scale; (ii) use; or (iii)	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
	location of development proposed.		: +2 The policies withi		he allocation as a wh	ole.	
47.	proposed.	Implications of taking no further action:					
	[Note: If you have said 'all	Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments: The policies within the DPD apply to the allocation as a whole. We have also					
	development' this implies equal application irrespective of the development scale/use/location and this may not be either justified or deliverable]		er of modifications to d				
ı	State how many policies are in your local plan update?						
	your local plan update:	There are 38 polici	There are 38 policies within the DPD.				
	Can you list any policies within the local plan update that: (i) repeat parts of other policies within the plan; (ii) replicate or repeat paragraphs in the NPPF (iii) cross reference other policies.						
	Based on the above, have you	-2	-1	0	+1	+2	
48.	tried to avoid unnecessary repetition (of the NPPF or other policies within the local plan	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	

	KEY QUESTIONS	ASSESSMENT					
	policies update) and cross referencing in policies? If you find duplication or repetition you may want to take minute to consider whether this is appropriate.	Reason for score: +2, cross references between policies are appropriate, e.g. housing mix and affordable housing policies, open space policy Implications of taking no further action: Mitigation / Action required (if necessary) to move scale to right: Reviewer Comments:					
		-2	-1	0	+1	+2	
	Do policies avoid duplicating other regulatory requirements (for example, building regulations)?	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
49.		Reason for score: +2, yes.					
		Implications of taking no further action:					
		Reviewer Commo		sary) to move scale	iog		
		-2	-1	0	+1	+2	
	Does the wording of plan policies avoid ambiguity? Are requirements clear to the decision-maker? [For instance, policies should avoid using overly subjective terms such as "to the Council's satisfaction", "considered necessary by the Council" or "appropriate" without associated clarification.]	No, we do not meet this requirement	No, we may not fully meet this requirement	Unclear whether our plan meets this requirement or not	Yes, we are likely to meet this requirement	Yes, we are confident our plan will meet this requirement	
		Reason for score: +1					
50 .		Implications of taking no further action:					
		Mitigation / Action required (if necessary) to move scale to right:					
		Reviewer Comments: Representations received at Regulation 19 highlighted some instances of the term "should". The Council has reviewed that DPD and made some suggested amendments where appropriate. The Council consider the DPD to be clear.					

New Settlement (Maltkiln) DPD Legal Compliance Checklist (February 2024)

North Yorkshire Council has completed the legal compliance checklist produced by the Planning Advisory Service in order to demonstrate that the New Settlement (Maltkiln) DPD meets the requirements of the relevant legislation

Stage one: The early stages

In terms of legal compliance, the main issues for the early stage are in relation to:

- · planning for community engagement
- planning the sustainability appraisal (including consultation with the statutory environment consultation bodies)
- identifying significant cross boundary and inter-authority issues
- ensuring that the plan rests on a credible evidence base, including meeting the Act's requirement for keeping matters affecting the development of the area under review.

Regulation 17 notes that a statement setting out which bodies and people the council invited to make representations under Regulation 18 is one of the proposed submission documents. In this table, the term 'consultation statement' is used to describe this statement.

Section 33A of the Act (introduced by the Localism Act 2011) introduces a duty to cooperate as a mechanism to ensure that local planning authorities and other bodies engage with each other on issues which are likely to have a significant effect on more than one planning area. This pervades every stage of the plan preparation. A plan may be found unsound if a council cannot show that it has taken reasonable steps to comply with the duty.

Stage one: The beginning

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
Is the DPD identified in the adopted LDS? Have you recorded the timetable for its production?	The Act section 15(2) and section 19(1)	NPPF 2012 para 153 NPPF 2019 and 2023 section 3		The New Settlement (Maltkiln) DPD is identified and timetabled in accordance with the Adopted LDS December 2021.
				It was first identified in the LDS which took effect in January 2018 This was updated in August 2020 and then December 2021 to ensure it reflected changes to timetable.
How will community engagement be programmed into the preparation of the DPD?	The Act section 19(3) Regulation 18	NPPF 2012 paras 150, 155 and 157 NPPF 2019 and 2023 section 3	If the SCI is up-to-date, use that. If not set out any changes to community engagement as a result of changes in legislation.	The LDS identified the stages of formal public consultation for the preparation of the DPD. The approved SCI (August 2020) sets out how the Council will engage with the community at each of these stages. This updates the previous 2014 version.
				The <u>Submission Consultation</u> <u>Statement (Feb 2024)</u> sets out details of the consultation undertaken at all stages in the preparation of the DPD including key issues raised and the council's response.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
3. Have you considered the appropriate bodies you should consult?	Regulation 18	NPPF paras 4.25 -4.26	Regulation 2 defines the general and specific consultation bodies. The possible evidence may duplicate each other. Only use what you need to.	The appropriate bodies are set out in the SCI (August 2020) The Council maintains an extensive database of groups and individuals that are consulted on a regular basis on plan making. The database includes both specific and general consultees as set out in the SCI.
4. How you will co-operate with other local planning authorities, including counties, and prescribed bodies, to identify and address any issues or strategic priorities that will have a significant impact on at least two planning areas?	The Act section 33A(1)(a) and (b), section 33A(3)(d) (e) & (4) The Act Section 20(5)(c) Regulation 4	NPPF paras 178 to 181 (which comprise the guidance referred to in the Act section 33A(7)) Under NPPF 2012 Para 182, to be 'Effective' a plan should be based on effective joint working on cross-boundary strategic priorities. Strategic priorities are listed at NPPF Para 156 NPPF 2019 and 2023 section 3	Section 33A(4) defines a "strategic matter". Under section 33A(6) the required engagement includes considering joint approaches to the plan making activities (including the preparatory activities) and considering whether to agree joint local development documents under section 28. The bodies prescribed by section 33A(1)(c) are set out at Regulation 4(1).	The DPD Duty to Cooperate Paper and Statements of Common Ground identify the strategic issues on which the Council has engaged with duty to cooperate bodies. The DPD Consultation Statement outlines the discussions and engagement that has taken place between the Council and relevant duty to cooperate bodies, the nature of that engagement and the outcomes of this.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
5. How you will co-operate with any local enterprise partnerships (LEP) or local nature partnerships (LNP) to identify and address any issues or strategic priorities that will have a significant impact on at least two planning areas?	The Act section 33A(1)(c) and section 33A(9), section 33A(3)(d) and (e) The Act section 20(5)(c). Regulation 4	NPPF paras 178 to 181 NPPF 2019 and 2023 section 3	Section 33A(4) defines a "strategic matter". Strategic priorities are listed at NPPF Para 156. Regulation 4(2) prescribes LEPs and LNPs for the purposes of section 33A(9). Under section 33A(6) the required engagement includes consulting on joint approaches to relevant activities.	The Council has ongoing engagement with the Leeds City Region (LCR) and York, North Yorkshire and East Riding (YNYER) LEPs. The specific duty to cooperate engagement with the LEPs and the outcome of this, is contained in the Consultation Statement.
6. Is baseline information being collected and evidence being gathered to keep the matters which affect the development of the area under review?	The Act section13	NPPF 2012 paras 158 – 177 NPPF 2019 and 2023 section 3		The Council has developed an extensive evidence base to support the Local Plan Policy DM4, which has been updated, as required, on a regular basis throughout the preparation of the DPD.
7. Is baseline information being collected and evidence being gathered to set the framework for the sustainability appraisal?	The Act section19(5)	NPPF 2012 paras 165 and 167 NPPF 2019 and 2023 section 3		The SA Scoping Report August 2018 was published in August 2018. This reviews existing plans, identifies characteristics of the area and sets out relevant baseline information in relation to the social, environmental and economic issues of the area and establishes key sustainability issues. This led to the

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
		Strategic Environmental Assessment Guide, chapter 5		development of an appraisal framework including objectives. The baseline data has been reviewed and updated during the preparation of the Local Plan.
8. Have you consulted the statutory environment consultation bodies for five weeks on the scope and level of detail of the environmental information to be included in the sustainability appraisal report?	Regulations 9 and 13 of The Environmental Assessment of Plans and Programmes Regulations 2004 No 1633.	NPPF 2012 paras 165 and 167 NPPF 2019 and 2023 section 3 SEA Guide chapter 3	The Strategic Environmental Assessment consultation bodies are also amongst the 'specific consultation bodies' which are defined in Regulation 2).	The three statutory environmental consultation bodies (Natural England, Historic England and Environment Agency) were consulted on the SA Scoping Report August 2018 together with a number of other consultation bodies including adjacent Local Planning Authorities. Responses were received from two of the three environment consultation bodies. A summary of the responses received with how the SA was updated to take account of the comments made can be found in Appendix 1 of the SA Interim Report October 2020.

Stage two: Plan preparation - frontloading phase

Information assembled during this phase contributes to:

- showing that the procedures have been complied with
- demonstrating cooperation with statutory cooperation bodies
- developing alternatives and options and appraising them through sustainability appraisal and against evidence.

The council should record actions taken during this phase as they will be needed to show that the plan meets the legal requirements. They will also show that a realistic and reasonable approach has been taken to plan preparation.

Stage two: Plan preparation

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
the specific consultation bodies? the general consultation bodies that have an interest in the subject of the DPD and invited them to make representations about its contents?	Regulation 18(1) and (2)(a) (b)	NPPF paras 159 – 173 NPPF 2019 and 2023 section 3	Specific and general consultation bodies are defined in Regulation 2.	All specific and relevant consultation bodies were consulted at the different stages of developing the DPD under Regulation 18. The details of the consultation are set out in the Consultation Statement which includes a summary of the responses received and the Council's response. All the Regulation 18 consultation documents can be viewed at New Settlement DPD: Reg 18 Consultation October 2020 - Details - Keystone (harrogate.gov.uk)
Are you inviting representations from people resident or carrying out business in your area about the content of the DPD?	Regulation 18(1) and (2)(c)	NPPF paras 159 – 173 NPPF 2019 and 2023 section 3		The Council maintains an extensive database of groups and individuals that are consulted on a regular basis on plan making in the area. This includes residents as well as businesses in the district. The Consultation Statement includes information about the ways in which comments were invited during the preparation of the DPD and how this was publicised.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
3. Are you engaging with stakeholders responsible for delivery of the strategy?	Regulation 18	NPPF 2012 para 155 NPPF 2019 and 2023 section 3	NPPF paras 160-171 4.29 give examples of relevant bodies which should be consulted.	The Council has engaged with stakeholders responsible for delivery throughout the preparation of the DPD. Stakeholders have also been engaged in the preparation of the Infrastructure Delivery Plan (IDP). The IDP details how these stakeholders were engaged.
Are you taking into account representations made?	Regulation 18(3)	NPPF 2012 para 155 NPPF 2019 and 2023 section 3	Evidence from participation is part of the justification. Show how you have taken representations into account.	The Consultation Statement provides a summary of the representations made in response to the consultation under Regulation 18 alongside details of how they have been considered and taken into account as the DPD has evolved. The SA Interim Report October 2020 (Appendix 1) provides a summary of the responses received to the SA Scoping Report August 2018 together with how the SA was updated to take account of the comments made.
5. Does the consultation contribute to the development and sustainability appraisal of alternatives?	The Act section19(5) Regulations 12 and 13 of The Environmental	NPPF paras 165 – 168 SEA Guide, chapter 3		The development of the DPD has been subject to sustainability appraisal throughout with SA report published at each key stage for comment.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
	Assessment of Plans and Programmes Regulations 2004 No 1633			A SA Interim Report October 2020 was carried out to support Regulation 18 Issues and Options consultation. The SA considered options and alternatives in terms of the location of the New Settlement within the Green Hammerton/Cattal broad location.
				The <u>SA Report October 2022</u> was prepared to accompany the Regulation 19 consultation. This updated the interim SA report to appraise the further development of the DPD.
				The SA objectives were reassessed to address issues raised at Regulation 19 consultation and subsequent proposed modifications and published to accompany the submission of the DPD.
				Each Sustainability report contains a summary of the consultation responses to the previous SA iteration and sets out how these have been addressed in developing the DPD.
6. Is the participation:	The Act section19(3)	NPPF 2012 para 155		Consultation throughout has been guided by the principles set out in the SCI. The Consultation

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
 integrating involvement with the sustainable 		NPPF 2019 and 2023 section 3		Statements set out how the SCI requirements have been met.
 community strategy? proportionate to the scale of issues involved in the DPD? 				There is no sustainable community strategy but Section 2 of the Local Plan sets out the strategic context and links to the Councils Corporate Plan and Housing Economic Strategies.
				Recognising the scale and impacts of the proposals contained within the Local Plan, the Council has sought to engage in a proportionate way as the DPD has been prepared, as set out in the SCI.
 7. Are you keeping a record of: the individuals or bodies invited to make representations? how this was done? the main issues raised? 	The Act section20(3) Regulation 17	NPPF 2012 paras 158 – 171 NPPF 2019 and 2023 section 3	You will need to submit a statement of representations under Regulation 22 (1) (c): see Submission stage below. Regulation 35 deals with the availability of documents and the time of their removal.	The Consultation Statements provide details of those consulted at each stage. Copies of all representations made during consultation on the DPD are held by the Council and can be viewed on the Council's online planning policy consultation page. An analysis of the responses received at each consultation stage and the main issues raised can be found in the Consultation Statements alongside the Council's response

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
8. Are you inviting representations on issues that would have significant impacts on both your areas from another local planning authority? Or county issues from an affected county council that is not a planning authority? Or significant cross-boundary issues and strategic priorities of a body prescribed under Section 33A(1)(c)?	The Act section 33A(1)(a) (b) and (c), section 33A(3)(d) & (e) section 33A(4) section 33A(9) The Act section 20 (5)(c)	NPPF 2012 paras 178 to 181 NPPF 2019 ad 2023 section 3	Section 33A(3)(d) and (e) requires cooperation on significant cross-boundary issues before and during plan preparation. Section 33A(2) requires you to engage constructively, actively and on an ongoing basis.	Representations have been invited at each stage from the former North Yorkshire County Council, neighbouring authorities and those in the wider Leeds City and North Yorkshire region. Engagement with the Duty to Cooperate partners is detailed in the Duty to Cooperate Statement and the Submission Consultation Statement (Regulation 22 (1) (c))
9. Are you inviting representations on cross-boundary issues and strategic priorities from a local enterprise partnership (LEP) or a local nature partnership (LNP)?	The Act section 33A(1)(c) and Section 33A(9). The Act section 20(5) (c). Regulation 4	NPPF 2012 paras 178 to 181 NPPF 2019 and 2023 section 3	Section 33A(3)(d) and (e) requires cooperation on significant cross-boundary issues before and during plan preparation. Section 33A(2) requires you to engage constructively, actively and on an ongoing basis.	Yes. Both the LCR and YNYER LEPs and the LNP have been consulted on the DPD
10. Are you developing a framework for monitoring the effects of the DPD?	The Act section 35 Regulation 34 Regulation 17 of The Environmental	NPPF 2012 paras 165 – 1687 NPPF 2019 and 2023 section 3 SEA Guide, Chapter 5	It is a matter for each council to decide what to include in their monitoring reports while ensuring they are prepared in accordance with relevant UK and EU legislation" Chief Planning Officer letter 30 March 2011	Section 12 of the DPD includes a monitoring framework which identifies for each DPD Policy indicators and targets. This is also supported by the Infrastructure Delivery Plan.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
	Assessment of Plans and Programmes Regulations 2004 No1363		withdrawing ODPM guidance.	The SA Report contains indicators to assess the sustainability effects of implementing the DPD. Monitoring will be undertaken on an annual basis and reported through the Annual Monitoring Report.

Stage three: Plan preparation - formulation phase

This stage has many legal matters, for process and content, to address. The council should be beginning to formulate the preferred strategy for the local plan or supplementary planning document with which the council chooses to address Regulation 18 requirements, using the information gathered and previous collaborative work with stakeholders.

Para 182 of the NPPF makes it clear that explicit consideration of alternatives is a key part of the plan making process.

You should evaluate the reasonable alternatives identified in 'stage two: frontloading phase – plan preparation' phase against the:

- completed body of information from evidence gathering
- results of sustainability appraisal
- findings from community participation
- findings from engagement with statutory cooperation bodies.

This may be written up as a preferred strategy report. The results of participation on the preferred strategy and an accompanying sustainability report will enable the council to gauge the community's response and receive additional evidence about the options. The council can then decide whether, and how, the preferred strategy and policies should be changed for publishing the finished DPD.

Alternatives developed from the evidence and engagement during the frontloading stage need to be appraised to decide on the preferred strategy. Participation will also need to be carried out on it.

These matters need to be considered, and dealt with, in good time, and not left until publication. Supporting documents will assist in providing evidence that decisions on alternatives and strategy are soundly based. These documents will, in due course, become part of the proposed submission documents in stage four.

The council should tell all parties that this is the main participation opportunity on the emerging plan. The publication stage is a formal opportunity for anyone to comment on an aspect of the DPD's soundness, and to propose a change to the plan accordingly. The more effectively this message is put across, the lower the chance of late changes being brought forward following publication.

Stage three: Plan preparation – writing the plan

Ac	etivity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
1.	Are you preparing reasonable alternatives for evaluation during the preparation of the DPD?	Regulation 12 (2) of The Environmental Assessment of Plans and Programmes Regulations 2004 No. 1633	NPPF 2012 paras 152 – 182 NPPF 2019 and 2023 section 3 SEA Guide, Chapter 5	The sustainability appraisal report and supporting documents relevant to the preparation of the DPD are part of the proposed submission documents (see Regulation 17).	The SA Interim Report October 2020 evaluated options and reasonable alternatives in terms of the actual location of the New Settlement within the Green Hammerton/Cattal area. This was used to inform the development of the preferred location. The consultation responses to this were the used to inform the development of the preferred location option and associated policies within the Regulation 19 SPD (October 2022).
2.	Have you assessed alternatives against: consistency with national policy? general conformity with the regional spatial strategy where still in force?	The Act section19 (2), section 24	NPPF 2012 para 151 NPPF 2019 and 2023 section 3	For London boroughs and local authorities where regional strategies are still in force general conformity is tested formally later but you need to consider it during preparation of the DPD.	The Council considers that there is no conflict with the NPPF, as detailed in the Soundness Checklist. The Yorkshire and Humber RSS was revoked in January 2013.
3.	Are you having regard to (where relevant): • adjoining regional spatial strategies? • the spatial development strategy for London?	The Act sections19 (2) and 24 (1) and (4) Regulation 10 and 21		Where the regional strategy has been revoked you should record that fact.	The RSS have been revoked and none of the other documents listed have any direct impact on the New Settlement (Maltkiln) DPD.

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
 Planning Policy for Wales? the National Planning Framework for Scotland? 				
4. Are you co-operating with other local planning authorities including counties, to address significant cross boundary issues? Have you discussed doing joint local development documents?	The Act section 33A(2)(a) Section 33A(6)(a)(b) Section 20(5) (c)	NPPF 2012 paras 181 and 185 NPPF 2019 and 2023 section 3		The Council has consulted and co-operated with all neighbouring local authorities and the former North Yorkshire County Council throughout the preparation of the DPD, as detailed in the Submission Consultation Statement (Regulation 22 (1) (c)) and the Duty to Cooperate Paper. Statement of Common Grounds have also been prepared and signed with Leeds City Council and York City Council It is not considered necessary or appropriate to undertake a joint LDD.
5. Are you cooperating with a person prescribed for the purposes of Regulation 33A(1)(c) to address significant cross boundary issues including preparing joint approaches?	The Act section 33A(2)(a), section 33A(6)(a) The Act section 20 (5) (c) Regulation 4	NPPF 2012 paras 181 and 182 NPPF 2019 and 2023 section 3	The bodies prescribed by The Act section 33A(1)(c) are set out at Regulation 4 (1).	The Council has engaged with the duty to cooperate bodies. The Submission Consultation Statement (Regulation 22 (1) (c)) outlines the discussions and engagement that has taken place between the Council and the relevant duty to cooperate bodies, the nature of that engagement and the outcome of this.

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
6. Are you cooperating with having regard to the activities of the LEP and LNP?	The Act section 33A(2)(b) and section 33A(9). Regulation 4 (2)	NPPF 2012 para 181 and 182 NPPF 2019 and 2023 section 3		The Council has ongoing engagement with the Leeds City Region (LCR) and York and North Yorkshire (YNY) LEPs through the officer and member groups of each LEP. The LNP was invited to make representations on the DPD.
 7. Are you having regard to: your sustainable community strategy or of other authorities whose area comprises part of the area of the council? any other local development documents adopted by the council? 	The Act section19(2)			The DPD when adopted will sit alongside the Harrogate District Local Plan and the North Yorkshire Minerals and Waste Joint Local Plan
8. Do you have regard to other matters and relevant strategies relating to: • resources • the local/regional economy • the local transport plan and transport facilities and services • waste strategies • hazardous substances	The Act section19(2) Regulation 10		As well as the matters and strategies listed in the Act and Regulations there are likely to be other matters identified in planning policy statements, regional and local strategies that you will need to have regard to in preparing the DPD.	

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
9. Are you having regard to the need to include policies on mitigating and adapting to climate change? Output Description:	The Act section19(1A)	NPPF 2012 paras 93 - 108 NPPF 2019 and 2023 section 14		One of the overall objectives of the DPD is to respond positively to the challenges of climate change. This is carried through in a number of policies relating to climate change mitigation and adaptation Section 5 of the Regulation 19 DPD
10. Have you undertaken the sustainability appraisal of alternatives, including consultation on the sustainability appraisal report?	The Act section19(5) Regulation 12 and 13 of The Environmental Assessment of Plans and Programmes Regulations 2004 No 1633	NPPF 2012 para 182 NPPF 2019 and 2023 section 3 SEA Guide, Chapter 5	Regulation13 of The Environmental Assessment of Plans and Programmes Regulations 2004 No 1633 sets out the consultation procedures.	The SA Interim Report October 2020 evaluated options and reasonable alternatives to terms of the actual location of the New Settlement within the Green Hammerton/Cattal area. The SA Interim report was consulted on between 19th October 2020 and Friday 22nd January 2021
11. Are you setting out reasons for any preferences between alternatives?	Regulation 8(2)	NPPF 2012 para 182 NPPF 2019 and 2023 section 3	This will include Information from the sustainability appraisal.	The reasons are set out in the <u>SA</u> Report October 2022.
12. Have you taken into account any representations made on	Regulations 17, 18(3) and 22 (1) (c) (iv)	NPPF 2012 paras 150, 155, 157 and 159-171	Records on the sustainability appraisal should also include recording any assessment	The representations received to the contents of the DPD under Regulation 18 can be found in the

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
the content of the DPD and the sustainability appraisal? Are you keeping a record?	Regulation 13(4) of The Environmental Assessment of Plans and Programmes Regulations 2004 No 1633	NPPF 2019 and 2023 section 3	made under the Habitats Directive.	Regulation 19 Consultation Statement (October 2022) and the Submission Consultation Statement (Regulation 22 (1) (c)). These contain a summary of all the key issues raised and the Council's response. The SA Interim Report October 2020 provides a summary of the representations to the contents of the SA Scoping Report and the SA Report October 2022 a summary of the representations to the SA Interim Report.
 13. Where sites are to be identified or areas for the application of policy in the DPD, are you preparing sufficient illustrative material to: enable you to amend the currently adopted policies map? inform the community about the location of proposals? 	Regulations 5 (1)(b) and 9	NPPF 2012 para 157 NPPF 2019 and 2023 section 3	Regulation 2 defines the terms 'submission' and 'adopted' proposals map. A map showing changes to the adopted policies map is part of the proposed submission documents defined in Regulation 17.	The Regulation 19 DPD (Oct 2022) contains a Policy Map which illustrates the area to be covered by the policies within the DPD.
14. Are the participation arrangements compliant with the SCI?	The Act, section 19(3) Regulation 18	NPPF 2012 paras 150 and 155 NPPF 2019 and 2023 section 3		As set out in the Submission Consultation Statement (Regulation 22 (1) (c)) public consultation was undertaken in

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
				accordance with the Councils SCI (August 2020)

Stage four: Publication

Under Regulation 20, the period for formal representations takes place **before** the DPD is submitted for examination in accordance with a timetable set out in the statement of the representations procedure which is made available at the council's office and published on its website.

When moving towards publication stage, the council should consider the results of participation on the preferred strategy and sustainability appraisal report and decide whether to make any change to the preferred strategy. In the event that changes are required, the council will need to choose either to:

- do so and progress directly to publication OR
- produce and consult on a revised preferred strategy.

The latter may be appropriate where the changes to the DPD bring in changed policy or proposals not previously covered in community participation and the sustainability appraisal. It avoids having to treat publication as if it were a consultation, which it is not. It also provides insurance in relation to compliance with the Strategic Environmental Assessment Regulations. Legally, during any participation on a revised preferred strategy, you should:

- comply with the requirements of the SCI
- update the sustainability appraisal report.

The council should then produce the DPD in the form in which it will be published. This includes removing material dealing with the evaluation of alternatives and the finalisation of the text. The council should be happy to adopt the DPD in this form and satisfied that it is sound and fit for examination.

The six weeks publication period is the opportunity for those dissatisfied (or satisfied) with the DPD to make formal representations to the inspector about its soundness. Only people proposing a change to the plan can expect to be heard at examination.

The possibility of change under certain circumstances (which should be exceptional) is allowed for in the new procedures and is described in 'stage five: submission'.

Stage four: Publication (Regulation 19 Consultation)

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
Have you prepared the sustainability appraisal report?	The Act section19(5) Regulation 12 of the Environmental Assessment of Plans and Programmes Regulations 2004 No 1633	NPPF paras 165 – 168 SEA Guide Chapter 5		The SA Report (Oct 2022) was consulted on between 3 October 2022 and 25 November 2022
Have you made clear where and within what period representations must be made?	Regulation 17, 19, 20 and 35		The period must not be less than 6 weeks from when you publish under Regulations 19 and 35 (see below).	These were made clear in the consultation letter and email notifications, on the Council's website, the statement of representation procedure, social media, press releases and the Council's on-line consultation portal. See the Submission Consultation Statement (Regulation 22 (1) (c))
 3. Have you made copies of the following available for inspection: the proposed submission documents? the statement of the representations procedure? 	Regulation 19(a)		Regulation 17 gives definitions.	Printed copies of the Regulation 19 DPD, SA, Habitat Regulations Assessment and Equality Analysis Report were made available for public inspection at: Civic Centre, Harrogate

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
				Public Libraries at Harrogate, Ripon, Knaresborough, Boroughbridge, Poppleton
				All the documents were also available on the Councils website. Copies were also supplied to the Parish Councils in the area to enable inspection locally. The Statement of Representations Procedure and a response form was made available in printed form at the above locations as well as online.
4. Have you published on your website: • the proposed submission documents? • the statement of the representations procedure? • statement and details of where and when documents can be inspected?	Regulations 19 and 35		Regulations 2 and 17 give definitions.	All the proposed submission documents including information on where documents could be inspected were published on the Council's website and on-line consultation portal. See the Submission Consultation Statement (Regulation 22 (1) (c))

Activity	Statutory requirement	Guidance reference	Additional notes	Possible evidence
 5. Have you sent to each of the specific consultation bodies invited to make representations under Regulation 18(1): A copy of each of the proposed submission documents 	Regulation 19(b)		Regulations 2 and 17 give definitions.	The consultation letter/email notification sent to the specific consultation bodies contained information on where and when documents could be inspected. See the Submission
The statement of the representations procedure?				Consultation Statement (Regulation 22 (1) (c))
 6. Have you sent to each of the general consultation bodies invited to make representations under Regulation 18(1): the statement of the representations 	Regulation 19(b)		Regulations 2 and 17 give definitions.	The consultation letter /email notifications sent to the general consultation bodies contained information on where and when documents could be inspected.
procedure?where and when the documents can be inspected?				See the Submission Consultation Statement (Regulation 22 (1) (c))
7. Have you requested the opinion of the Mayor of London (if a London Borough or Mayoral DC) on the general conformity of the DPD spatial development strategy?	The Act section 24 Regulation 21		The request must be made on the day you publish the documents under Regulation 19(a) and a response must be made within six weeks from the request (Regulation 21).	N/A

Stage five: Submission (Regulation 22)

At the submission stage, the council should receive and collate any representations made at publication stage. You don't have to report these representations to councillors but there may be requirements deriving from other legislation, Standing Orders or council procedures that must be considered. Or you might just think it is a good idea to report on it anyway.

If they are reported it should be on the facts of the representations made, not the results of a consultation process by the council. They should not be treated as a consultation or an opportunity to make changes or answer representations. NB: under the 2012 Regulations there is no longer any requirement to give notice by local advertisement.

You should ensure you are in legal compliance with the SCI, the Habitats Directive and the Strategic Environmental Assessment Directive in any additional work. Any formal publication of additional or changed matters would need to allow at least a six-week period for representations to be made.

There are different approaches that could be taken to changes. You should be satisfied that you remain fully compliant with the legal requirements if any changes are made (and any consequential effects on the DPD as a whole).

Apart from notification of the examination, this tool does not deal with the legal requirements that need to be followed after submission.

Stage five: Submission

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
1. Has the DPD been prepared in accordance with the LDS? Does the DPD's listing and description in the LDS match the document? Have the timescales set out in the LDS been met?	The Act section 19(1)		The Act section 15(2) sets out the matters specified in the LDS. As at January 2013, no further matters are prescribed in the Regulations.	The DPD has been prepared in accordance with the LDS. This has been updated during plan preparation to reflect changes to the timetable.
2. Has the DPD had regard to any sustainable community strategy for its area (like a county and district)?	The Act section 19(2)	NPPF para 182 NPPF 2019 and 2023 section 3		There is no sustainable community strategy for the area.
3. Is the DPD in compliance with the SCI (where one exists)? Has the council carried out consultation as described in the SCI?	The Act section 19(3) Regulation 22(1)(c)		Before the SCI is formally amended to take into account the changes in the regulations, you may need to set out how the community engagement that you carried out met the regulations (as amended).	Consultation has been carried out in accordance with the adopted SCI (August 2020) See the Submission Consultation Statement (Regulation 22 (1) (c))
4. Have you identified and addressed any issues which are likely to have a significant impact on at least two planning areas. In doing so, have you co-operated with other local planning	The Act section 33A(1) and section 20(5)	NPPF paras 181 and 182 NPPF 2019 and 2023 section 3	Under NPPF para 182, the plan should be based on effective joint working on cross-boundary strategic priorities to be found 'Effective'.	The Submission Consultation Statement and the Submission Duty to Co-operate Paper identifies the issues on which the Council has engaged with the duty to cooperate bodies. The documents outline the

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
authorities, county councils where they are not a planning authority, LEPs, LNPs and the prescribed bodies in identifying and addressing any strategic cross-boundary issues If you have not agreed on the approach is there a justification?				discussions and engagement that has taken place between the Council and relevant duty to cooperate bodies and the outcome of this engagement. Statements of Common Ground have also been drafted between the Council and Leeds City Council and City of York Council and will form part of the Duty to Cooperate Paper.
5. Has the DPD been subject to sustainability appraisal? Has the council provided a final report of the findings of the appraisal?	The Act section 19(5) Regulation 22(1)(a)	NPPF para 165 NPPF 2019 and 2023 section 3		The development of the DPD has been subject to sustainability appraisal throughout with SA reports published at each key stage for comment. The Submission Sustainability
		SEA Practical Guide, chapter 5		Appraisal (Feb 2024) draws together and updates all previous SA reports. It has also been updated to reflect representations to the Regulation 19 consultation and SA report.
6. Is the DPD to be submitted consistent with national policy?	The Act section 19(2) and Schedule 8	NPPF para 151 NPPF 2019 and 2023 section 3		The Council considers that there is no conflict with the NPPF as detailed in the Soundness Assessment.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
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7. Does the DPD contain any policies or proposals that are not in general conformity with the regional strategy where it still exists? If yes, is there local justification?	The Act section 24(1)(a) and 24(4) Regulation 21	NPPF para 218 footnote 41	In London the requirement is for general conformity with the spatial development strategy (The London Plan).	Not applicable The Yorkshire and Humber RSS was revoked in 2013
If the LPA is a London borough or a mayoral development corporation has it requested an opinion from the Mayor of London on the general conformity of the plan with the spatial development strategy?				
8. Has the council published the prescribed documents, and made them available at their principal offices and their website?	The Act section 20(2), 20(3) and 20(5)(b) Regulations 8 and 19	NPPF para 182 NPPF 2019 and 2023 section 3	Requirements relating to publication of the prescribed documents are listed later in this table.	Subject to the Full Council decision on the 21 February 2024, a copy of the Submission DPD and supporting documents will be made available at: • Civic Offices, Harrogate
Has the council notified the relevant statutory and non-statutory bodies, and all persons invited to make representations on the plan? Does the DPD contain a list of superseded saved policies?				Poppleton Public Library The full suite of supporting and evidence base documents will be made available on the council's website, unless already online elsewhere. A Submission Document List will be made available on the website providing

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
				web links to each of the documents.
				The Council will notify by letter or email all the relevant statutory and non-statutory bodies and all persons invited to make representations.
				The DPD does not supersede any policies.
9. Are there any policies applying to sites or areas by reference to an Ordnance Survey map or to amend an adopted policies map? If yes, have you prepared a submission policies map?	Regulations 5(1) (b), 9 (1), 17 & 22(1)			The Submission DPD contains a Policies map.
10. Is the DPD consistent with any other adopted DPDs for the area? If the DPD is intended to supersede any adopted development plan policies, does it state that fact and identify the superseded policies?	Regulation 8(3) and (4) Regulation 8(5)		Development Plan is defined in Section 38 of the Act.	The New Settlement (Maltkiln) DPD is consistent with the adopted Harrogate District Local Plan. Both documents along with the North Yorkshire Minerals and Waste Joint Local Plan will form the development plan for the New Settlement area.
				The DPD will not supersede any adopted development plan policies.

Activity	Land requirement		Additional nates	
Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
 11. Have you prepared a statement setting out: Which bodies and persons were invited to make representations under Regulation 18? How they were invited? A summary of the main issues raised? How the representations have been taken into account? 	The Act section 20 (3) Regulation 22(1)(c)		This will bring forward material from the Consultation statement (see Stage 2 above).	The representations received to the contents of the DPD under Regulation 18 can be found in the Regulation 19 Consultation Statement and the Submission Draft Consultations Statement. These contain a summary of all the key issues raised and the Council's response.
12. Have you prepared a statement giving: • the number of representations made under Regulation 22? • a summary of the main issues raised? OR • that no representations were made?	The Act section 20(3) Regulation 22(1)(c)			The Submission Draft Consultation Statement sets out the number of representations made. The main issues and council response to them are summarised in the document.
13. Have you collected together all the representations made under Regulation28?	The Act section 20(3) Regulation 22(1)(e)			Copies of all representations made will be available to view on the Councils on-line planning policy consultation page
14. Have you assembled the relevant supporting documents?	The Act section 20(3)			A list of core documents including all the submission, supporting and evidence base documents has been prepared. These documents

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
	Regulation 22(1)(g)			will be available to view on the Council's website.
15. Has your council approved the DPD for submission?			Check the LPA's constitution/standing orders for the authorisation process appropriate for the type of DPD.	Decision to submit must be made by Full Council and the decision will be taken on the 21 ^{st of} Feb. In line with the Council's constitution the report will be considered by:
				 Development Plan Committee Selby and Ainsty Area Constituency Committee Executive
 16. Have you sent the Secretary of State (the Planning Inspectorate) both a paper copy and an email of the following: the DPD? the submission policies map (unless there are no site allocation policies)? the documents prescribed in Regulation 22(1)? 	The Act section 20(1) and 20(3) Regulations 22(1) and 22(2)		Regulation 35 deals with the availability of documents and the time of their removal. Electronic copies of some of the representations and supporting documents may not be practicable. Regulation 35 deals with the availability of documents and the time of their removal.	The Submission and Examination requirements will be completed at the appropriate time subject to the Full Council decision on 21 February 2024.
 17. Have you made the following available at the same places where the proposed submission documents were to be seen: The DPD? The documents prescribed in Regulation 22(1)? 	Regulation 22(3)		You should do this as soon as reasonably practicable after submission.	The Submission and Examination requirements will be completed at the appropriate time subject to the Full Council decision on 21 February 2024.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
 18. On your website, have you published the: DPD? submission policies map? sustainability appraisal report? Regulation 22(1)(c) statement? supporting documents (where practicable)? representations made under Regulation 20 (where practicable)? statement as to where and when the DPD and the documents are available? 	Regulation 22(3) and 35(1)(b)		You should do this as soon as reasonably practicable after submission.	The Submission and Examination requirements will be completed at the appropriate time subject to the Full Council decision on 21 February 2024.
 19. For each general consultation body invited to make representations under Regulation 18(1), have you sent: notification that the documents prescribed in Regulation 22(3)(a)(i)-(iii) are available for inspection where and when they can be inspected? 	Regulation 22(3)(b)		You should do this as soon as reasonably practicable after submitting to the Secretary of State.	The Submission and Examination requirements will be completed at the appropriate time subject to the Full Council decision on 21 February 2024.

Activity	Legal requirement	Guidance reference	Additional notes	Possible evidence
20. Have you given notice to persons who have requested to be notified that submission has taken place?	Regulation 22(3)(c)		You should do this as soon as reasonably practicable after submitting to the Secretary of State.	The Submission and Examination requirements will be completed at the appropriate time subject to the Full Council decision on 21 February 2024.
 21. If an examination is being held, at least six weeks before its opening has the Programme Officer: published the time and place of the examination and the name of the person appointed to carry out the examination on your website? 	The Act section 20 Regulations 24 and 35			Examination hearing session dates have yet to be confirmed. The Council will ensure the requirements under the Regulations are carried out.
 notified those who have made representations on the published DPD which have not been withdrawn of these details? 				